



Canadian Lawyers Liability Assurance Society

2018/2019 Renewal Application for  
Excess Professional Liability Insurance

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This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

*Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

*Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.*

1. Name of Firm (Named Insured): Goodmans LLP

2. Address of principal office: 333 Bay Street, Suite 3400, Bay Adelaide Centre  
Toronto, ON M5H 2S7

Phone: ( 416 ) 979-2211 Fax: ( 416 ) 979-1234

3. Address, phone and fax numbers of other office(s):  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. Management or service companies, date(s) established and services provided:  
Goodlaw Management Inc.  
Incorporated: June 24, 1981 owned by Goodmans LLP  
\_\_\_\_\_

5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no  
  
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

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7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes      ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2018:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	This Year		Last Year	
a) Corporate and Commercial Law	52.6	%	45.5	%
b) Criminal Law	0.0	%	0.0	%
c) Family Law	0.0	%	0.0	%
d) Intellectual Property	0.5	%	0.5	%
e) Labour Law	0.1	%	0.2	%
f) Litigation	24.4	%	25.4	%
g) Real Estate	5.0	%	3.8	%
h) Securities Law	0.0	%	0.0	%
i) Tax Matters	1.6	%	3.2	%
j) Wills, Estates, Trust	0.1	%	0.5	%
k) Other (Bankruptcy, Admin Law)	15.7	%	20.8	%

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2017. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. However, updates thus reported are not considered official notice of claim to CLLAS.

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).



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14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes      ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input checked="" type="checkbox"/> \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2018 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: \_\_\_\_\_

*(Must be signed by a Partner of the Firm)*

Name of Signatory: Ken Crofoot, Partner and General Counsel

*(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)*

Date: \_\_\_\_\_

March 26, 2018



## APPENDIX A

### PREDECESSOR FIRMS

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Name of Firm: Goodmans LLP

- Goodman & Goodman
- Goodman Phillips & Vineberg
- Goodman Phillips & Vineberg LLP
- Goodmans (January 1, 2001)
- Goodmans LLP, a British Columbia limited liability partnership

APPENDIX B  
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2018

Name of Firm: Goodmans LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA<sup>/5</sup></u>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces (Please specify)</u>	<u>U.S.</u>	<u>Other Locations</u>
a) No. of Lawyers <sup>/1</sup>			133 <sup>(1)</sup>				
b) No. of Patent & Trademark Agents <sup>/2</sup>			1				
c) No. of Non-lawyer Consultants <sup>/3</sup>			11				
d) No. of Paralegals			18				
e) No. of Other Employees							
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>			63				

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants (includes 55 partners, 69 associates and 9 consultants).

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner. Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services. If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

Type of Exposure: \_\_\_\_\_  
Insurance Carrier: \_\_\_\_\_  
Policy Number: \_\_\_\_\_  
Period of Insurance: \_\_\_\_\_  
Retroactive Date: \_\_\_\_\_  
Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.



**Goodmans LLP (Toronto)****CLLAS List of Legal Professionals as at March 1, 2018****Appendix B**

<b>Partners</b>	<b>Bar Admission Date</b>	<b>Hire Date</b>	<b>Partnership Date</b>
Mark Blidner	1978	January 4, 1999	January 1, 2000
John D. Alton	1983	May 9, 1983	February 1, 1989
Kenneth W. Crofoot	1984	January 1, 1980	February 1, 1989
Mark R. Noskiewicz	1987	June 17, 1985	February 1, 1993
Joe Conforti	1987	September 9, 1991	February 1, 1993
Michael Koch	1988	July 1, 1999	January 1, 2001
Carolyn Stamegna	1988	January 1, 2004	January 1, 2004
Francy Kussner	1989	June 1, 1987	February 1, 1995
Brian F. Empey	1990	June 20, 1988	January 1, 1996
Amalia Berg	1990	August 26, 2002	January 1, 2008
Glenn Ernst	1990	July 2, 2003	January 1, 2006
Jessica A. Kimmel	1991	June 12, 1989	January 1, 1997
L. Joseph Latham	1991	September 17, 1990	January 1, 1997
Neill May	1992	May 25, 1990	January 1, 1998
Ira Barkin	1992	June 3, 1990	January 1, 1999
David Conklin	1993	October 1, 2007	January 1, 2013
Nando De Luca	1996	May 13, 1994	January 1, 2003
David M. Scrimger	1997	June 5, 1995	January 1, 2003
Michelle Roth	1997	July 31, 1995	January 1, 2003
Joe Cosentino	1997	October 6, 1997	January 1, 2006
Alan Bowman	1997	April 27, 1998	January 1, 2004
Jeffrey Shore	1998	May 8, 1995	January 1, 2007
Monique McAlister	1998	May 8, 1995	January 1, 2007
Julie Rosenthal	1998	May 8, 1995	January 1, 2008
Bill Gorman	1998	September 25, 2000	January 1, 2005
Suzy Kauffman	1999	May 13, 1996	January 1, 2006
Bram Green	1999	October 1, 2012	October 1, 2012
Jon Northup	2000	July 6, 1998	January 1, 2006
Brendan O'Neill	2000	September 12, 2005	January 1, 2008
David Lederman	2001	June 7, 1999	January 1, 2007
Melaney Wagner	2001	June 7, 1999	January 1, 2008
David Bronskill	2002	July 4, 2000	January 1, 2008
Daniel Cohen	2002	May 1, 2001	January 1, 2009
Jason Wadden	2002	January 5, 2004	January 1, 2011
Brenda Gosselin	2003	May 6, 2002	January 1, 2010
Anne Benedetti	2004	May 5, 2003	January 1, 2012
Kirk Rauliuk	2005	May 3, 2004	January 1, 2012
Jarrett Freeman	2005	May 3, 2004	January 1, 2013
Sandon Shogilev	2005	May 3, 2004	January 1, 2013
Michael Bertrand	2005	July 3, 2007	January 1, 2009
Ian Andres	2007	May 8, 2006	January 1, 2015
Peter Kolla	2007	May 8, 2006	January 1, 2015
Chris Armstrong	2008	May 22, 2007	January 1, 2015
Leah Boyd	2008	May 22, 2007	January 1, 2015
Mark Dunn	2008	May 22, 2007	January 1, 2015

**Goodmans LLP (Toronto)**

**CLLAS List of Legal Professionals as at March 1, 2018**

**Appendix B**

<b>Partners</b>	<b>Bar Admission Date</b>	<b>Hire Date</b>	<b>Partnership Date</b>
Melanie Ouanounou	2008	May 22, 2007	January 1, 2015
Brad Halfin	2009	May 20, 2008	January 1, 2017
Tamryn Jacobson	2009	May 20, 2008	January 1, 2017
Caroline Descours	2010	June 9, 2009	January 1, 2017
Dan Dedic	2010	June 9, 2009	January 1, 2017
Jordan Scopa	2010	October 9, 2012	January 1, 2017
Jamie Firsten	2007	May 1, 2017	May 1, 2017
Emily Ting	2011	May 25, 2010	January 1, 2018
Jamie van Diepen	2011	May 25, 2010	January 1, 2018
Ken Saddington	2011	May 25, 2010	January 1, 2018
<b>TOTAL PARTNERS</b>	<b>55</b>		

**Goodmans LLP (Toronto)****CLLAS List of Legal Professionals as at March 1, 2018****Appendix B**

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<b>Associates</b>	<b>Bar Admission Date</b>	<b>Hire Date</b>
<hr/>		
Monica Creery	1995	August 14, 2006
Michael Stewart	1999	May 13, 1996
Caterina Costa-Faria	2002	May 1, 2001
Jenene Roberts	2005	July 18, 2011
Gail Jaffe	2006	May 8, 2006
Michael Yasskin	2006	April 10, 2012
Belle Van	2007	September 5, 2007
Adrian Ishak	2007	October 10, 2017
Hannah Arthurs	2008	May 22, 2007
Geoff Cowper-Smith	2010	June 9, 2009
Nick Staubitz	2010	June 9, 2009
Michel Shneer	2011	May 25, 2010
Karen Vadasz	2012	August 8, 2011
Jaro Mazzola	2012	September 26, 2012
Kabir Jamal	2012	May 5, 2014
Bradley Wiffen	2013	May 10, 2010
Michael Wilson	2013	May 10, 2010
Ryan Cookson	2013	May 10, 2010
Daniel Wakeling	2013	May 9, 2011
David Coll-Black	2013	May 9, 2011
Jennifer Ehrlich	2013	May 9, 2011
Joseph Hoffman	2013	May 9, 2011
Matthew Segal	2013	May 9, 2011
Michael Royal	2013	September 30, 2013
Emily Hubling	2013	May 30, 2016
David Rosenblat	2013	October 11, 2016
Nofa Khadduri	2013	October 31, 2016
Charlie Pettypiece	2014	May 9, 2011
Dan Block	2014	May 9, 2011
Seth Klerer	2014	May 9, 2011
Ali Mirsky	2014	May 7, 2012
Emily Weizel	2014	May 7, 2012
Resa Jacob	2014	May 7, 2012
Ryan Baulke	2014	May 7, 2012
Tyler D'Angelo	2014	May 7, 2012
Money Khoromi	2014	May 29, 2017
Christopher Payne	2014	June 19, 2017
Kirby Cohen	2015	May 7, 2012
Max Laskin	2015	May 7, 2012
Samantha Reburn	2015	May 7, 2012
Carlie Fox	2015	May 6, 2013
Jesse-Ross Cohen	2015	May 6, 2013
Matthew Prager	2015	May 6, 2013
Matthew Rossetti	2015	May 6, 2013
Connor Spelliscy	2016	August 4, 2015



**Goodmans LLP (Toronto)**  
**CLLAS List of Legal Professionals as at March 1, 2018**  
**Appendix B**

<b>Associates</b>	<b>Bar Admission Date</b>	<b>Hire Date</b>
Julian Di Bartolomeo	2016	August 4, 2015
Kyle Gerow	2016	August 4, 2015
Max Mandel	2016	August 4, 2015
Michael Richards	2016	August 4, 2015
Randy McAuley	2016	August 4, 2015
Rebecca Olscher	2016	August 4, 2015
Rosel Kim	2016	August 4, 2015
Sarah Macchione	2016	August 4, 2015
Theresa Kennedy	2016	August 4, 2015
Dennis Beker	2017	February 13, 2017
Lisa Hawker	2017	September 26, 2017
Jaclyn Tilak	2017	October 2, 2017
Elliot Pobjoy	2018	May 6, 2013
Laura Magisano	2018	May 12, 2014
Sophie Langlois	2018	May 12, 2014
Tara Hunt	2018	May 12, 2014
Andrew Harmes	2018	May 4, 2015
Bryan Flatt	2018	May 4, 2015
Dan Eitutis	2018	May 4, 2015
Danielle Knight	2018	May 4, 2015
Krysten Bortolotti	2018	May 4, 2015
Larissa Fulop	2018	May 4, 2015
Ledya Yohannes	2018	May 4, 2015
Sarah Stothart	2018	May 4, 2015
<b>TOTAL ASSOCIATES</b>	<b>69</b>	

**Goodmans LLP (Toronto)**

**CLLAS List of Legal Professionals as at March 1, 2018**

**Appendix B**

<b>Consultants</b>	<b>Bar Admission Date</b>	<b>Hire Date</b>
Neil H. Harris	1972	June 1, 1969
Allan Leibel	1972	April 1, 1972
Calvin S. Goldman Professional Corporation	1976	January 1, 2014
Stephen H. Halperin Professional Corporation	1976	April 1, 1987
Harry Radomski Professional Corporation	1978	November 11, 1985
Howard Wetston	1979	April 4, 2016
Richard Annan	1985	August 3, 2004
Cynthia Tape	1997	August 27, 2008
Rocco (Rick) Tuzi	1997	February 6, 2012
<b>TOTAL CONSULTANTS</b>	<b>9</b>	

**Goodmans LLP (Toronto)**  
**CLLAS List of Legal Professionals as at March 1, 2018**  
**Appendix B**

Patent & Trademark Agents	Hire Date
Ronnie Hoffer	May 6, 1981
<b>TOTAL PATENT &amp; TRADEMARK AGENTS</b>	<b>1</b>



**Goodmans LLP (Toronto)**

**CLLAS List of Legal Professionals as at March 1, 2018**

**Appendix B**

<b>Non-Lawyer Consultant</b>	<b>Hire Date</b>
Andre Lapierre	February 21, 2000
Rosa Rahimpour	July 17, 2000
Matthew Buck	August 3, 2004
Carl Scott	October 31, 2005
Eugene Cipparone	February 4, 2008
Sandra Montanino	April 1, 2008
Tess DiPonio	May 7, 2008
Stacey Kline	September 8, 2008
Stacy Zosky	October 6, 2008
Nancy Stitt	June 9, 2009
Catherine Chang	May 3, 2010
<b>TOTAL NON-LAWYER CONSULTANTS</b>	<b>11</b>

**Goodmans LLP (Toronto)**  
**CLLAS List of Legal Professionals as at March 1, 2018**  
**Appendix B**

<b>Law Clerks</b>	<b>Hire Date</b>
Fanny Paquette (on leave)	October 25, 1982
Nicole Roth	October 12, 1993
Heather Wilson	August 18, 1997
Lisa Ebdon	June 8, 1998
Monique Allen	June 14, 1999
Tiffanie Hill	May 1, 2000
Angela Tsiakos	May 8, 2000
Maureen Buckley	November 6, 2000
Gloria Lauzon	January 8, 2001
Grace Rubba	April 5, 2006
Christina Ierullo	May 16, 2011
Sean Moore	September 6, 2011
Elyse Nobert	August 25, 2014
Cailey MacDonald	January 4, 2016
Michael Franzolini	May 23, 2017
Juliana Racanelli	November 6, 2017
Amanda Bunting	January 29, 2018
Christina D'Aversa	February 26, 2018
<b>TOTAL LAW CLERKS</b>	<b>18</b>

**Goodmans LLP (Toronto)****CLLAS List of Legal Professionals as at March 1, 2018****Appendix B**

<b>Professional Corporations</b>	<b>Bar Admission Date</b>	<b>Hire Date</b>
Gale Rubenstein Professional Corporation	1977	September 8, 1980
Benjamin Zarnett Professional Corporation	1977	May 1, 1990
Lawrence Chernin Professional Corporation	1978	November 29, 2004
Roslyn Houser Professional Corporation	1979	June 8, 1977
Juli Morrow Professional Corporation	1979	August 2, 1977
Jean Anderson Professional Corporation	1981	November 6, 2000
Jay A. Carfagnini Professional Corporation	1982	January 1, 1980
Alan H. Mark Professional Corporation	1982	April 30, 1990
David Zitserman Professional Corporation	1983	June 16, 1986
Mark Surchin Professional Corporation	1983	March 1, 1987
Jonathan Lampe Professional Corporation	1984	January 1, 1980
Dale H. Lastman Professional Corporation	1984	March 1, 1985
Howard Wise Professional Corporation	1985	October 6, 1997
Graham D. Smith Professional Corporation	1986	June 4, 1984
Daniel J. Gormley Professional Corporation	1986	September 4, 1984
Ira Berg Professional Corporation	1986	May 26, 1986
David J. Matlow Professional Corporation	1986	November 30, 1987
Celia Rhea Professional Corporation	1986	January 18, 1988
Fred Rubinoff Professional Corporation	1986	October 1, 2012
Jeffrey A. Citron Professional Corporation	1987	June 3, 1985
Stephen Pincus Professional Corporation	1989	September 15, 1986
Susan Garvie Professional Corporation	1989	June 1, 1987
Mitchell Sherman Professional Corporation	1989	June 22, 1987
Francesca M. Guolo Professional Corporation	1989	September 2, 2003
Catherine A. Lyons Professional Corporation	1990	February 12, 1990
Andrew S. Wiseman Professional Corporation	1990	March 5, 1990
Ken Herlin Professional Corporation	1990	May 12, 1997
Tom Friedland Professional Corporation	1991	June 5, 1989
Dino P. Clarizio Professional Corporation	1991	May 28, 2008
Mario Di Fiore Professional Corporation	1992	May 25, 1990
Neil Sheehy Professional Corporation	1992	June 25, 1990
Carrie Smit Professional Corporation	1992	January 1, 1998
Richard Corley Professional Corporation	1992	January 1, 2014
Richard E. Naiberg Professional Corporation	1993	July 22, 1991
Allan J. Goodman Professional Corporation	1993	July 22, 1991
Tim Heeney Professional Corporation	1994	June 15, 1992
Jason Feldman Professional Corporation	1994	June 22, 1992
Robert J. Chadwick Professional Corporation	1994	June 29, 1992
Andrew Brodtkin Professional Corporation	1995	March 1, 1994
Robert D. Howe Professional Corporation	1995	February 19, 2001
Giuseppe Pasquariello Professional Corporation	1996	May 10, 1993
Peter Ruby Professional Corporation	1996	October 25, 1999
Robert A. Vaux Professional Corporation	1997	June 12, 1995
Sheldon N. Freeman Professional Corporation	1997	July 4, 1995
Grant E. McGlaughlin Professional Corporation	1997	April 24, 2000



**Goodmans LLP (Toronto)****CLLAS List of Legal Professionals as at March 1, 2018****Appendix B**

<b>Professional Corporations</b>	<b>Bar Admission Date</b>	<b>Hire Date</b>
David J. Nadler Professional Corporation	1998	August 26, 1991
Michael Partridge Professional Corporation	1998	May 8, 1995
Kari J. MacKay Professional Corporation	1999	February 1, 1999
John Connon Professional Corporation	2000	May 3, 2004
Mark Spiro Professional Corporation	2001	June 7, 1999
Victor Liu Professional Corporation	2001	June 28, 1999
Tara Parker Professional Corporation	2001	January 24, 2000
Jonathan A. Feldman Professional Corporation	2001	May 11, 2004
Gesta A. Abols Professional Corporation	2002	June 5, 2000
Jerry Topolski Professional Corporation	2002	April 9, 2001
Lauren Butti Professional Corporation	2002	May 1, 2001
Brad Ross Professional Corporation	2004	May 5, 2003
Hackett Law Professional Corporation	2006	May 2, 2005
Daniel Cappe Professional Corporation	2006	May 2, 2005
Jaclyn Seidman Professional Corporation	2006	May 2, 2005
Chris Sunstrum Professional Corporation	2007	May 8, 2006
David L. Wiseman Professional Corporation	1997	July 4, 1995
Michelle Vigod Professional Corporation	2009	August 22, 2011
<b>TOTAL PROFESSIONAL CORPORATIONS</b>	<b>63</b>	

APPENDIX C  
ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2018  
(Excluding Patent & Trademark Agents)

Name of Firm: Goodmans LLP

SECTION A

Professional Service Provided	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>1/</sup>	% of Time Docketed <sup>2/</sup>
Matthew Buck (Scientist)	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	100%
Andre Lapierre (Scientist)	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	100%
Rosa Rahimpour (Scientist)	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	100%
Carl Scott (Scientist)	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	100%
Catherine Chang (Director, Alumni Relations)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Eugene Cipparone (Director, Professional Support)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Tess DiPonio (Director, Law Clerk Programs)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Stacey Kline (Director, Special Projects)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Sandra Montanino (Director, Professional Development)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Nancy Stitt (Director, Student Programs)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%
Stacy Zosky (Director, Associate Programs)	1	ON	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0%

APPENDIX D  
“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

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Name of Firm: Goodmans LLP

- The Named Insured under the CLLAS policies prior to January 1, 2001 was Goodman Phillips & Vineberg, formerly Goodman & Goodman, a partnership constituted under the laws of Ontario.
- The Firm had changed its name from Goodman & Goodman to Goodman Phillips & Vineberg effective March 6, 1995 and practised under that name from its office in Toronto until January 1, 2001.
- Phillips & Vineberg of Montreal also practised under the name of Goodman Phillips & Vineberg for the same period.
- These two firms had entered into an arrangement with Freeman & Company of Vancouver in 1989 and formed an international partnership and national affiliation known as Goodman Freeman Phillips & Vineberg. In 1993, Freeman & Company dissolved and the partnership continued to operate under the name of Goodman Phillips & Vineberg.
- Goodman Phillips & Vineberg (Ontario) and Goodman Phillips & Vineberg (Montreal) maintained offices in Vancouver, New York, Paris, Singapore, Hong Kong and Beijing. Vancouver and Hong Kong each have local partners. Exposures not covered by the Associated Firm Endorsement for Goodman Phillips & Vineberg (Ontario) were covered by the policies arranged for Goodman Phillips & Vineberg (Montreal), e.g. the New York office.
- Effective January 1, 2001, the Named Insured was amended to Goodmans LLP, a partnership constituted under the laws of Ontario with (i) Goodmans, a partnership constituted under the laws of British Columbia, and (ii) Goodmans, a partnership registered under the laws of Hong Kong and their predecessor firms being added as Additional Named Insureds.
- Effective January 1, 2001, Fong & Ng (former associated firm of the international partnership in Hong Kong) is being recognized as an associated firm of the umbrella firm Goodmans, a partnership registered under the laws of Hong Kong.
- On February 1, 2001, Goodman Phillips & Vineberg in Montreal merged with Davies, Ward & Beck and operates under the name of Davies Ward Phillips & Vineberg LLP. The international partnership dissolved on the same day.
- On May 10, 2001, Goodman Phillips & Vineberg LLP, upon receipt of permission from the Law Society of Hong Kong, changed its name to Goodmans LLP.
- On December 31, 2005, Goodmans' Hong Kong office was closed.
- The Firm currently has an office in Toronto. All the lawyers in the Vancouver office joined MacPherson Leslie & Tyerman LLP on January 1, 2015 and that office closed on the same date.

There is an endorsement on the policy in respect of: a) the previous international partnerships and national affiliations; and b) Goodmans, a partnership registered under the laws of Hong Kong, to cover possible claims relating to the period during which they were in operation.



# APPENDIX E

## PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Goodmans LLP

### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law

### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: \_\_\_\_\_

Insurance Carrier: \_\_\_\_\_

Policy Number: \_\_\_\_\_

Period of Insurance: \_\_\_\_\_

Retroactive Date: \_\_\_\_\_

Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

Type Exposure: \_\_\_\_\_

Insurance Carrier: \_\_\_\_\_

Policy Number: \_\_\_\_\_

Period of Insurance: \_\_\_\_\_

Retroactive Date: \_\_\_\_\_

Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_

Insurance Carrier: \_\_\_\_\_

Policy Number: \_\_\_\_\_

Period of Insurance: \_\_\_\_\_

Retroactive Date: \_\_\_\_\_

Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate

Type of Exposure: \_\_\_\_\_

Insurance Carrier: \_\_\_\_\_

Policy Number: \_\_\_\_\_

Period of Insurance: \_\_\_\_\_

Retroactive Date: \_\_\_\_\_

Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ annual aggregate



APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2017

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Name of Firm: Goodmans LLP

As of the date of this application, all known claims and possible claims have been reported to CLLAS.

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)  
Open and Closed Claims Report  
Goodmans LLP  
As at December 31, 2017

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	CLLAS					Law Society				
							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS1988-012	JON R. JOHNSON	ATTORNEY GENERAL	1987-09-01	1982-03-01	1993-09-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$6,262	\$0	\$0	\$6,262
CLLAS1989-029	D. PIERCE	STEVEN SHEIR	1989-04-01	1987-09-01	1989-10-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1989-064	AARON KWINTER	RICHARD MEYERS	1989-06-01	1982-06-01	1990-09-01	CPF	\$0	\$0	\$0	\$0	\$0	\$41,984	\$4,870	\$0	\$0	\$46,854
CLLAS1989-094	DANIEL J. GORMLEY	SULLIVAN FILMS INC.	1988-10-31	1985-06-30	1994-06-10	CPF	\$0	\$0	\$0	\$0	\$0	\$800,000	\$133,629	\$0	\$0	\$933,629
CLLAS1991-006	RANDOLPH WEISZ	CARLO SIMONI ET AL	1990-08-02	1990-04-30	1990-10-01		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-015	MARGARET MACDONALD	WIDPAR FINANCIAL GROUP INC.	1990-09-30	1989-07-31	1997-11-28		\$0	\$0	\$0	\$0	\$0	\$30,000	\$30,992	\$0	\$0	\$65,975
CLLAS1991-030	ARTHUR JACQUES	TRUSTEE IN BANKRUPTCY PEAT MARWICK LIMITED	1990-11-01	1990-01-01	1993-03-16	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1991-058	Donald G. Pierce	RYLAR DEV.	1991-01-31	1991-01-31	1994-07-22	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$7,658	\$0	\$0	\$7,658
CLLAS1991-069	PHINEAS SCHWARTZ	ROGER CUNNINGTON	1991-02-14	1983-12-01	1993-05-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$7,491	\$0	\$0	\$7,491
CLLAS1991-070	THOMAS M. MacDONALD	WILLIAM HO (716358 ONTARIO LIMITED)	1991-03-01	1989-02-18	1992-09-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$6,739	\$0	\$0	\$6,739
CLLAS1991-124	Ira Berg	GRAND HARBOUR DEVELOPMENT LIMITED	1991-06-28	1991-06-05	1994-03-24	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$12,582	\$0	\$0	\$14,388
CLLAS1992-008	Donald Pierce	GEOFFREY N. FERNANDES	1991-08-01	1989-01-01	1991-11-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$511	\$0	\$0	\$511
CLLAS1992-014	Gerald Ross	STEL HOTELS	1991-09-30	1988-04-30	1997-11-28	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$48,556	\$0	\$0	\$48,663
CLLAS1992-035	STEPHEN BELGUE	DENNIS and JUDY JEWITT	1991-11-11	1988-06-28	1992-03-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$648	\$0	\$0	\$648
CLLAS1992-053	T.B.A. T.B.A.	TRITEN CORPORATION	1991-12-01	1990-09-01	1992-06-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-060	Donald G. Pierce	BARCLAY-GRAYSON CORPORATION	1991-12-01	1989-11-01	1992-12-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-071	HARRY RADOMSKI	HERITAGE SILVERSMITHS/Wallace Int'l	1992-01-31	1990-12-31	1999-06-29	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$14,168	\$0	\$0	\$14,168
CLLAS1992-073	WILLIAM V. ALCAMO	THE BITOVE CORPORATION	1992-02-28	1989-01-31	1999-04-30	SIR	\$0	\$0	\$0	\$0	\$0	\$0	\$91,325	\$0	\$0	\$94,303
CLLAS1992-090	JEFFREY CITRON	CHAUSURES ALDO INC./ALDO SHOES INC./ROYAL TRUST	1992-02-07	1988-12-01	1992-08-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1992-099	Gerald Ross	FALLBROOK HOLDINGS	1991-10-28	1988-05-25	1993-11-22	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,444	\$0	\$0	\$3,444
CLLAS1992-149	PHINEAS SCHWARTZ	PINETREE DEVELOPMENT	1992-06-30	1989-06-30	1994-04-18	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,212	\$0	\$0	\$2,486

## As at December 31, 2017

							CLLAS					Law Society				
Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS1993-076	Joel Schachter	SATIN FINISH HARDWARE FLOORING LTD.	1993-03-12	1993-02-11	1993-09-22	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1993-096	WILLIAM ALCAMO	Metro Toronto Convention Centre	1993-04-26	1992-09-30	1995-11-10		\$0	\$0	\$0	\$0	\$0	\$46,500	\$118,257	\$0	\$0	\$164,757
CLLAS1993-115	Jon Johnson	Keith & Christine Norris	1993-06-14	1993-02-28	1993-09-27	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,039	\$0	\$0	\$1,039
CLLAS1993-116	Norman Schipper	Stephen Mitchell Realty Ltd/L&D Investments Ltd.	1993-06-30	1991-01-31	1993-11-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,330	\$0	\$0	\$1,330
CLLAS1993-125	TOM MacDONALD	Hong kong Bank of Canada	1993-06-30	1988-08-01	1995-02-14	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$802	\$0	\$0	\$802
CLLAS1994-008	RENATE HERBST	SOCIETE GENERALE (CANADA)	1993-08-16	1990-01-01	1994-11-03	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,504
CLLAS1994-021	Gerald Ross	Income Trust Company	1993-09-15	1988-03-01	1995-02-10	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$7,061	\$0	\$0	\$7,061
CLLAS1994-025	DAVID HALPENNY	Dr. Gordon Eckler	1993-09-23	1990-02-01	1994-03-07	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,098	\$0	\$0	\$3,098
CLLAS1994-039	KENNETH M. KARP	WIDPAR FINANCIAL GRP INC.	1993-10-27	1989-04-26	1995-09-27	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$5,550	\$0	\$0	\$3,550
CLLAS1994-046	PATRICIA ROBINSON	CARL BURTON ESTATE OF	1993-11-09	1988-01-09	1995-01-02	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$1,574	\$0	\$0	\$1,574
CLLAS1994-067	JOHN ALTON	GREYVEST LEASING INC.	1994-01-26	1986-12-01	1995-01-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$16,229	\$0	\$0	\$18,322
CLLAS1994-075	Kenneth Snider	DRG INC.	1994-02-10	1991-04-01	1996-02-29	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$950	\$0	\$0	\$950
CLLAS1994-139	Gerald Ross	Kingsabbey Investments / Rollingparke Limited	1994-06-24	1993-11-01	1995-02-23	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,349
CLLAS1994-151	TOM MacDONALD	Kathryn Holbrook	1994-06-29	1986-01-01	1996-05-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,288
CLLAS1994-152	Graham Smith	Peter Krawec	1994-06-28	1989-05-01	1997-12-17		\$0	\$0	\$0	\$0	\$0	\$142,499	\$64,595	\$0	\$0	\$209,451
CLLAS1995-028	Donald Pierce	IBM Canada Inc.	1994-09-23	1982-11-01	1995-10-04	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$3,872	\$0	\$0	\$3,872
CLLAS1995-029	Martin Day	Hill Samuel Bank	1994-11-08	1990-06-01	1996-02-26		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,312
CLLAS1995-076	Ira Berg	Investors Group Trustco Ltd. - File 1	1994-11-24	1989-01-01	1995-07-11		\$0	\$0	\$0	\$0	\$0	\$0	\$747	\$0	\$0	\$747
CLLAS1995-077	Neil Harris	Canadian Solifuels Inc	1995-01-13	1993-06-01	1998-02-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$5,685	\$0	\$0	\$5,685
CLLAS1995-097	Stephen Halperin	Paine Webber Inc./Wertheim Schroder & Co. Inc.	1995-03-27		1995-10-12	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-126	Charles J. Schwartz	Consulate 1 Properties Limited Partnership	1995-05-25	1989-04-01	1996-04-04		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-132	Earl Rotman	Julia and Tony Yip(Golden Maple Leaf et al)	1995-06-16	1994-04-01	2002-02-28		\$0	\$0	\$0	\$0	\$0	\$0	\$171,810	\$0	\$0	\$171,810
CLLAS1995-133	Joel Schachter	Greyvest Inc.	1995-06-15	1994-04-01	1996-04-16		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1995-167	Ira Berg	Investors Group Trustco Ltd. - File 2	1995-04-05	1994-02-01	1999-11-09	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0



CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)  
Open and Closed Claims Report  
Goodmans LLP  
As at December 31, 2017

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	CLLAS					Law Society				
							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS1996-025	Donald Pierce	ONTARIO HOSPITAL ASSOCIATION	1995-10-03	1994-12-01	1997-12-05	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$31,898	\$0	\$0	\$36,392
CLLAS1996-040	KEN CROFOOT	GAN, SIMCOE & ERIE	1995-12-01	1995-11-06	1996-06-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$671	\$0	\$0	\$671
CLLAS1996-055	Francesca Guolo	Ault Foods Limited	1996-02-25	1994-04-01	1996-11-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-094	Edwin A. Goodman	Central Guaranty Trustco Limited	1996-04-30		1996-05-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1996-099	Gale Rubenstein	Mastercraft Group/Greenbergs	1996-05-09	1994-08-01	1999-11-16		\$0	\$0	\$0	\$0	\$0	\$125,000	\$39,675	\$0	\$0	\$167,524
CLLAS1996-110	Donald G. Pierce	Midtown Properties	1996-06-20	1995-11-01	1997-08-29	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1997-005	Benjamin Zarnett	Issie and Nancy Goldman	1996-08-15	1995-07-15	1996-03-11	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1997-009	Glen Ernst	Allan Richman/440894 Ont Ltd./Forward Properties	1996-07-19	1995-12-21	1997-07-15	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$561
CLLAS1997-130	Joel Schachter	A.J. Gerrard & Company	1997-06-27	1996-07-01	1998-09-23	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-009	Gerald Ross	Fallbrook Holdings Limited -1587 McDougall St.	1997-07-29	1988-07-31	1998-03-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-010	RENATE HERBST	Standard Chartered Bank of Canada	1997-07-24	1990-11-07	2008-12-31		\$0	\$0	\$0	\$0	\$0	\$300,000	\$131,302	\$0	\$0	\$431,302
CLLAS1998-011	Donald Pierce	Huang & Danczkay Limited	1997-07-24	1992-03-01	1998-12-14	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$27,972	\$0	\$0	\$28,000
CLLAS1998-056	Gerald Ross	Four Seasons Hotels Ltd et al ats Royal Trust Corp	1998-02-02	1982-09-09	2002-05-01		\$0	\$0	\$0	\$0	\$0	\$656,500	\$41,795	\$0	\$0	\$698,295
CLLAS1998-063	Joe Conforti	Dominion Auto Supply & Wrecking Co. Ltd.	1998-01-14		1998-11-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-065	Andrew/Ira J Wiseman/Berg	TD Bank/CFCL/CF/MB	1998-02-06	1994-09-08	1998-03-03	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-093	Kenneth Karp	Madison Park Inc. et al	1998-05-05	1989-04-10	1998-06-26	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1998-100	Alan Feld	Eco Fibre Canada Inc.	1998-05-11	1992-05-01	1998-11-17	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-007	Stephen Fitterman	Great Plains Productions (Big Bear)	1998-07-23	1998-04-27	2002-11-01	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$6,029	\$0	\$0	\$6,029
CLLAS1999-024	Kenneth Snider	Paul Woods	1998-09-18	1998-08-12	1998-09-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-043	Clifford Lax	Clifford Lax/Platiumum Rouge	1998-11-13	1998-06-22	2000-05-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-071	William Rosenfeld	Noma Industries Ltd	1999-01-08	1994-03-04	1999-11-02	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS1999-077	Donald Pierce	Toys "R" Us (Canada) Ltd.	1999-01-13	1987-10-29	2001-07-13		\$0	\$0	\$0	\$0	\$0	\$60,000	\$10,902	\$0	\$0	\$70,902
CLLAS1999-131	Jon Johnson	Commercial Alcohols Inc.	1999-05-26	1996-06-11	2000-08-30	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$11,735	\$0	\$0	\$11,735



## As at December 31, 2017

[illegible]

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)  
Open and Closed Claims Report  
Goodmans LLP  
As at December 31, 2017

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	CLLAS					Law Society				
							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS2005-142	Jessica Kimmel	Vartevar E. Brounssuzian	2005-03-04	2003-07-17	2007-01-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$21,712	\$0	\$0	\$21,712
CLLAS2005-154	Mitchell Sherman	Sentinel Hill Entertainment Corp.	2005-03-23	1999-06-01	2005-04-01		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-159	Neill May	Medical Facilities Corp	2005-03-30	2004-02-01	2008-12-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-171	David J. Matlow	Brandselite International Corporation	2005-04-12		2005-08-25	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2005-181	Bill Gorman	Atlantic Power Corporation	2005-03-30		2005-09-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-010	Stephen Halperin	Donald M.J. Vale and Hollinger Inc.	2005-07-26	2005-07-20	2005-10-18	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2006-154	Paul L. Goldman	Ivanhoe Mines Ltd.	2006-05-29		2009-03-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-018	Lorrie (not to be disclosed) Waisberg (not to be disclosed)	Four Seasons Hotels and Isadore Sharp	2006-08-22		2007-05-09	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-041	Avi Greenspoon	Midland Development Inc.	2006-10-23		2008-12-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-080	Stephen Halperin	Directors of Hollinger	2007-01-17	2007-03-07	2007-03-23	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2007-157	Susan Garvie	ONCAP L.P. and WIS International	2007-06-29	2007-05-14	2008-12-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-108	Frederick Myers	1235866 Ontario Inc.	2008-02-05	2007-09-17	2008-12-31		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2008-134	John Keeffe	Gregory Roberts	2008-04-30	2008-02-07	2009-07-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$9,408	\$0	\$0	\$9,408
CLLAS2008-140	C. Clifford Lax	Brenda, Margaret, Inger Anne and Lilly Bartlett	2008-05-16		2009-08-04	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-006	Neil Sheehy	CIBC Mellon Trust Company	2008-07-28		2008-08-05		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-011	Krista Coburn	Medical Facilities Corporation	2008-08-14	2007-10-01	2009-06-30		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-013	Avi Greenspoon	Fluid Music Canada Inc.	2008-08-21	2008-04-02	2015-03-30		\$0	\$0	\$0	\$0	\$0	\$0	\$118,657	\$0	\$0	\$118,657
CLLAS2009-148	Stephen Pincus	Donald Kramer	2009-06-08	2008-01-01	2010-11-08	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2009-154	Benjamin Zarnett	Sarkis Keuroghlian	2009-06-18	2005-09-05	2010-12-21	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$46,578	\$0	\$0	\$46,578
CLLAS2010-019	Brad Ross	Northwest Value Partners Inc.	2009-09-04		2010-07-22	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-028	Frederick Myers	Robert Verdun (not client)	2009-09-29	2008-01-30	2011-03-31	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-053	Sheldon Freeman	Frank and Ferne D'Addario	2009-11-02		2012-07-13	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-061	David Zitserman	Bachi Corp.	2009-11-24	2009-01-01	2011-09-14		\$0	\$0	\$0	\$0	\$0	\$800,000	\$100,719	\$0	\$0	\$900,719
CLLAS2010-092	John Keeffe	Alexander Josipovicz	2010-01-12	2009-11-13	2010-10-29	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-093	Benjamin/Francy Zarnett/Kussner	Don Jack in Action Against Him by Bernard Sherman	2010-01-11		2010-03-09		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2010-111	Stephen K. Belgue	Canadian Tire Corporation Limited	2010-03-01	2006-10-27	2014-06-23		\$4,356,558	\$0	\$0	\$0	\$4,356,558	\$714,942	\$285,058	\$0	\$0	\$1,000,000



## As at December 31, 2017

[illegible]

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)  
Open and Closed Claims Report  
Goodmans LLP  
As at December 31, 2017

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Disp. Code	CLLAS					Law Society				
							Payment		Reserve		Incurred Liability	Payment		Reserve		Incurred Liability
							Indemnity	Legal	Indemnity	Legal		Indemnity	Legal	Indemnity	Legal	
CLLAS2015-125	William Arnold Chat Orved	Alicion Real Estate Partners et al	2015-06-12	2014-03-14	2015-08-19	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-010	Melaney Wagner	2138747 Ontario Inc.	2016-07-28	2014-10-26			\$0	\$0	\$0	\$0	\$0	\$0	\$43,629	\$0	\$139,818	\$183,447
CLLAS2017-035	Stephen Halperin, William Arnold Chat Orved	Peter Snucins, Deborah Snucins	2016-10-03		2017-02-23	NCP	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
CLLAS2017-152	Roslyn Houser	Mason Homes Limited	2017-06-02	2008-03-03			\$0	\$0	\$0	\$0	\$0	\$0	\$5,803	\$0	\$114,197	\$120,000
Total							\$18,356,558	\$390,929	\$0	\$0	\$18,747,487	\$4,389,320	\$2,931,919	\$0	\$289,354	\$7,639,576

reviewed - NJI



## APPENDIX G

### RISK MANAGEMENT POLICIES AND PROCEDURES

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Name of Firm: Goodmans LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

Anthony Davis completed a Risk Assessment Audit in 2003.

John Walker completed a CLLAS Risk Management Audit on:

- April 14, 2010; and
- April 19, 2016.

Attached is a listing of all Risk Management Policies (previously submitted).



GoodNet &gt; Home &gt; Risk Management &gt; Policies, Guidelines and Best Practices

Faces



ADVANCED SEARCH

[HOME](#)   [PRACTICE AREAS](#)   [SERVICE AREAS](#)   [COMMUNITIES](#)   [POLICIES AND PROCEDURES](#)   [FACES](#)   [SEARCH GOODNET](#)   [FEEDBACK](#)

## Policies, Guidelines and Best Practices

### Policies and Procedures

#### ☐ Name

[Risk Management Policy and Procedures - Anti-Corruption](#)  
[Risk Management Policy and Procedures - Audit Inquiry](#)  
[Risk Management Policy and Procedures - Client-Matter Intake and Conflict Searches](#)  
[Risk Management Policy and Procedures - Confidentiality and Trading Securities](#)  
[Risk Management Policy and Procedures - Directorship - Letter to Corporation re Limitation of Directorship Role](#)  
[Risk Management Policy and Procedures - Directorship Approval Form](#)  
[Risk Management Policy and Procedures - Directorships](#)  
[Risk Management Policy and Procedures - Documents to be Filed on SEDAR with Redacted Information](#)  
[Risk Management Policy and Procedures - Ethical Walls](#)  
[Risk Management Policy and Procedures - Investments and Opportunities](#)  
[Risk Management Policy and Procedures - Legal Opinions](#)  
[Risk Management Policy and Procedures - Ownership Enquiry - Prospectus and Information Circulars](#)  
[Risk Management Policy and Procedures - Search Warrants](#)  
[Risk Management Policy and Procedures - Service of Documents](#)  
[Risk Management Policy and Procedures - Tax Opinions](#)  
[Risk Management Policy and Procedures - Third Party Privacy](#)

### Guidelines and Best Practices

#### ☐ Name

[Docketing Best Practices and Naming Conventions](#)  
[Email Management - Best Practices](#)  
[Employee Departure Checklist](#)  
[Guidelines re Internet Publishing and Social Media](#)  
[Lobbyists Registration Act 1998 - Interpretation Bulletin Number 7 - October 28, 2011](#)

### GoodLinks

[After Hours](#)  
[Audit Inquiry](#)  
[Bill Reprint](#)  
[Employee Self Service \(ESS\)](#)  
[Employee Assistance Program](#)  
[Fedex](#)  
[GoodPeople Registration](#)  
[InterAction](#)  
[Job Postings](#)  
[Library Catalogue](#)  
[Meeting Room Manager](#)  
[Mimecast](#)  
[Policies and Procedures](#)  
[Prebill Lookup](#)  
[Purulator](#)  
[RM Database](#)  
[Security Awareness Training](#)  
[Supplies](#)  
[Training Videos](#)  
[Virtual Minute Books](#)  
[WebView](#)  
[Workflow](#)  
[World Law Group](#)


[FIRM HELP](#)   [OUR OFFICE](#)   [POST TO GOODNET](#)   [SITE MAP](#)   [YOUR FEEDBACK](#)

APPENDIX H  
CYBER LIABILITY

---

Name of Firm: Goodmans LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Director, Technology is responsible for management of and compliance with security policies

- b) Do you have a Chief Privacy Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

Chief Privacy Officer is Managing Director. Emails to [PrivacyOfficer@Goodmans.ca](mailto:PrivacyOfficer@Goodmans.ca) are delivered to email box of Managing Director and two partners, one of whom is General Counsel

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?  
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☐ Data at rest  
☒ Data in transit  
☐ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)  
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no
- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no
- d) Do you require that passwords be a minimum length and contain alpha and numeric characters? ☒ yes ☐ no
- e) Do you require that passwords be regularly updated? ☒ yes ☐ no
- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no
- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no
- h) Is the data on your servers encrypted? ☐ yes ☒ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes, on laptops ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

### 3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☐ yes ☒ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

### 4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☐ yes ☒ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☐ yes ☒ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☐ yes ☒ no



APPENDIX I

2017 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND  
EXEMPTION FORM SUBMITTED TO LAWPRO

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Name of Firm: Goodmans LLP

Please see attached.

## Kangas, Chloe

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**From:** LAWPRO Website <service@LAWPRO.ca>  
**Sent:** Friday, November 17, 2017 4:58 PM  
**To:** Kangas, Chloe  
**Subject:** 2018 Firm Renewal Application for Professional Liability Insurance

2018 Firm Renewal Application for Professional Liability Insurance

2018 Firm Filing completed on: 2017-11-17 4:57:49 PM

Name of LAW FIRM: GOODMAN'S LLP  
Your Firm Account number: A041350

Your Confirmation No.: P2258104

This e-mail may be privileged and/or confidential, and the sender does not waive any related rights and obligations. Any distribution, use or copying of this e-mail or the information it contains by other than an intended recipient is unauthorized. If you received this e-mail in error, please delete it and advise [service@lawpro.ca](mailto:service@lawpro.ca) immediately.

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## E-Filing History Details: P2258104

### Firm Application for Professional Liability Insurance

	RENEWAL
Firm Number	A041350
Firm Name	GOODMANS LLP
Date of Filing	17 NOV 2017
Time of Filing	04:51:31PM
Filed By	CHLOE KANGAS
Filer Phone No.	4165975906
Filer Email Address	ckangas@goodmans.ca

### General LAW FIRM Information

Contact Name	BYRON SONBERG
Contact Title	MANAGING DIRECTOR
Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) and LAWYERS in LAW FIRM	194
Number of non-lawyer staff in LAW FIRM	281

### Excess Liability Insurance

Name of Insurer	CLLAS
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### Liability limits (above LAWPRO POLICY limits)

Million per CLAIM	60
Million aggregate	160
Average Gross Billings	AVERAGE OVER \$500,000

### LAW FIRM's Coverage Options

DEDUCTIBLE Option	\$25,000 EXPENSES & DAMAGES DEDUCTIBLE
Innocent Party Sublimit Coverage	250k
Restricted Area Of Practice Option	NO
Real Estate Practice Coverage Option	YES
Number of LAWYERS practising Real Estate	48

### LAW FIRM's Premium Payment Options

Third Party Payor Authorization	NO
Payment Option	LUMP SUM
Payment method	CASH
Mail Payment Info	N/A
Requested Paper Invoice	NO

### CLAIM(S) and POTENTIAL CLAIM(S)

Not yet reported	NO
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Signature declaration has been acknowledged

Your Comments and/or Suggestions



## Online 2018 Firm Filing Review

Submit Date: 17 NOV 2017

A041350

### General LAW FIRM Information

#### 1. Firm Information

**Name of LAW FIRM:** GOODMAN'S LLP  
**Address:** BAY ADELAIDE CENTRE  
#3400 - 333 BAY ST  
TORONTO ON M5H 2S7  
**Phone Number:** 416-979-2211  
**Fax Number:** 416-979-1234  
**E-mail address:** bsonberg@goodmans.ca  
**Firm Website(s):** www.goodmans.ca  
**Managing Partner/LAWYER:** A023658R KENNETH WILLIAM CROFOOT  
**Office Admin/Manager:** ?????? CHLOE KANGAS  
**Claims Contact:** A023658R KENNETH WILLIAM CROFOOT  
**CPD Contact:** A032978R NEILL IAN MAY  
**Nature of Law Practice:** Partnership - LLP

#### 2. Contact name and title

Indicate the preferred firm contact for insurance matters.

**Name:** Byron Sonberg  
**Title:** Managing Director

#### 3. Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in LAW FIRM in Ontario

Indicate the current number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in the LAW FIRM in Ontario.  
200

#### 4. Number of staff in LAW FIRM in Ontario who are not LAWYERS

Indicate the current number of staff in the LAW FIRM in Ontario who are not LAWYERS. Include all staff who provide law-related services who are directly or indirectly employed, whether through management, other companies or otherwise, by the LAW FIRM or by any of the LAWYERS in the LAW FIRM or any spouse including those EMPLOYEES who are casual or part-time EMPLOYEES. Independent contractors such as bookkeepers, PARALEGALS, researchers, etc. who are not EMPLOYEES of the LAW FIRM should not be included.

281

#### 5. Excess liability insurance

**Your excess insurers include:** CLLAS

##### Liability limits:

(per CLAIM/aggregate in excess of LAWPRO POLICY limits)

\$ 60 Million **per CLAIM**

\$ 160 Million **aggregate**

#### 6. Volume Billings

Indicate the **Average** Gross Billings **per** LAWYER in the LAW FIRM.

##### Average Gross Billings:

AV14 - Average over \$500,000

#### LAW FIRM's Coverage Options

**7. DEDUCTIBLE Option**

\$25,000 Expenses & Damages Deductible

**8. Innocent Party Sublimit Coverage**

250k

**9. Restricted Area of Practice Option: Criminal and/or Immigration Law**

NO

**10. Real Estate Practice Coverage Option**

YES

Number of LAWYERS who have REAL ESTATE practice option: 48

#### LAW FIRM's Premium Payment Options

**11. Third-party payor authorization:**

The LAWYERS' 2018 insurance premium will be paid by a third party, other than another LAWYER or PARALEGAL PARTNER OR SHAREHOLDER in the LAW FIRM, the LAW FIRM itself, or a management or other company of the LAW FIRM.

NO

If the LAWYERS' 2018 insurance premiums will be paid by a third party as described above, the undersigned LAWYER agrees to obtain the consent of the third party authorizing the transaction and providing for the use and disclosure of personal information in accordance with privacy legislation that came into effect on January 1, 2004

**12. Instalment Option**

CASH

LUMP SUM

**13. Credit Card Payment Information**

**14. Pre-authorized Bank Payment Information**

**Institution:**

**Transit:**

**Account No:**

**Account Name:**



## 15. Premium Payment Authorization

(complete only if paying by credit card or pre-authorized bank account withdrawal)

The undersigned LAWYER authorizes LAWPRO to charge against the designated credit card or withdraw from the account and financial institution indicated on the account information provided, the appropriate insurance premium (including taxes), based on the payment option indicated above. The undersigned LAWYER understands that LAWPRO does not charge for this service (but the designated financial institution or credit card company may).

The undersigned LAWYER agrees that this authorization will apply for 2018 and all future policy years, without further authorization, so long as the LAWYER so elects. If any changes are to be made to the payment information or payment option, the LAWYER agrees to notify LAWPRO of such changes, in writing, at least ten (10) days prior to any payment date.

The undersigned LAWYER has read and understands all of the terms and conditions below.

### Terms and Conditions:

1. The undersigned LAWYER certifies that the information provided in the authorization is correct.
2. The undersigned LAWYER certifies that the bank account or credit card is in good standing, with sufficient funds to cover the payments as they become due.
3. All payments will be drawn on Canadian financial institutions only, and will be withdrawn in Canadian funds.

☐ Please check this box to evidence your signature for the Declaration above.

16. ☐ I prefer to mail/fax my Premium Payment Information and will forward , by mail or fax, a completed Premium Payment Authorization form.

17. ☐ I prefer to receive our LAW FIRM's 2018 Insurance Premium Invoice by mail

Your firm's 2018 insurance premium invoice will be available in electronic form from this Web site after the application is processed. A notice will be emailed to the following email address contacts in your firm when your invoice and policy documentation are available to view and download. If the email addresses for any of the following contacts are incorrect or not provided, please update your firm contact information by clicking "Address Changes" AFTER you submit this application and receive your filing confirmation number.

LAW FIRM Managing Partner/LAWYER: kcrofoot@goodmans.ca  
LAW FIRM Office Admin/Mg: ckangas@goodmans.ca  
LAW FIRM CPD Contact: NMAY@GOODMANS.CA  
LAW FIRM CLAIMS Contact: kcrofoot@goodmans.ca  
Filer (as indicated in question 19): ckangas@goodmans.ca

### CLAIM(S) & POTENTIAL CLAIM(S)

18. Other than CLAIM(S) and POTENTIAL CLAIM(S) of which LAWPRO has been notified under the Law Society of Upper Canada program, is any present member or employee of the LAW FIRM aware of any CLAIM(S) or POTENTIAL CLAIM(S) that has (have) been or may be made against the LAW FIRM or against any other on whose behalf this Application is submitted?  
NO

### Filer Information

#### 19. Name, Phone, and E-mail

Name: Chloe Kangas  
Phone: 4165975906  
E-mail: ckangas@goodmans.ca



#### **Firm Summary Warranty & Signature**

This Declaration shall constitute and form part of the 2018 Application Form for LAWPRO Professional Liability Insurance filed on behalf of each LAWYER in the Law Firm listed in the Member List.

It is understood, warranted and acknowledged that the undersigned LAWYER is authorized to act as agent for the purposes of this insurance on behalf of each of the LAWYERS. The LAWYERS each warrant and acknowledge that the information provided with this Application Form:

- is true, accurate and complete, or where estimates are required, that such estimates are reasonable;
- will be relied upon by LAWPRO in assessing risk, in offering any terms of insurance and in issuing any policy of insurance;
- will be the basis of and form part of any resulting policy of insurance; and
- the options selected on this form are requested to apply for 2018.

LAWYERS not currently carrying LAWPRO professional liability insurance coverage each acknowledge having read the LAWPRO Personal Information Statement for Ontario LAWYERS and PARALEGALS (LICENSEES) (which forms part of this Application Form). The LAWYERS consent to the collection, use and disclosure of personal information in any optional program(s) for which the LAWYERS choose to apply or are to be named as an INSURED/s in, now or in future policy years, in accordance with that Statement.

The LAWYERS each acknowledge his/her own on-going duty, through to the date of policy inception, to advise LAWPRO in writing of any material changes with respect to their practice circumstances.



**Please check this box to evidence your signature for the Warranty & Signature Declaration above.**

# Member Review List

LAWYER COUNT: 200

No.	LSUC #	Last Name	First Name	Years In Practice	Part-Time?	Real Estate?
1	45870H	ABOLS	GESTA ANDREW	16	Full Time	No
2	22958W	ALTON	JOHN DOUGLAS	36	Full Time	No
3	20832A	ANDERSON	JEAN ELIZABETH	37	Full Time	No
4	53624D	ANDRES	IAN DANIEL	10	Full Time	Yes
5	24705O	ANNAN	RICHARD STUART	36	Full Time	No
6	55148B	ARMSTRONG	CHRISTOPHER GEORGE	9	Full Time	No
7	32739L	BARKIN	IRA SAMUEL	25	Full Time	Yes
8	66189O	BAULKE	RYAN DOUGLAS	3	Full Time	No
9	68010J	BEKER	DENNIS IAN	2	Full Time	No
10	49265F	BENEDETTI	ANNE KATHRYN	12	Full Time	Yes
11	25698P	BERG	IRA JONATHON	31	Full Time	Yes
12	31326M	BERG TRISTER	AMALIA	27	Full Time	No
13	33156N	BERRY	MAUREEN YVETTE	25	Full Time	Yes
14	51487W	BERTRAND	MICHAEL RYAN	16	Full Time	No
15	17693E	BLIDNER	MARK RICHARD	39	Full Time	Yes
16	65812D	BLOCK	DANIEL ANGUS	3	Full Time	Yes
17	72141K	BORTOLOTTI	KRYSTEN MARGARET	0	Full Time	No
18	39359B	BOWMAN	ALAN DAVID	20	Full Time	No
19	37016W	BRODKIN	ANDREW RYAN	22	Full Time	No
20	45343R	BRONSKILL	DAVID JEFFREY	16	Full Time	Yes
21	47083W	BUTTI	LAUREN RACHELLA	15	Full Time	No
22	52782A	CAPPE	DANIEL MICHAEL	11	Full Time	No
23	22293T	CARFAGNINI	JAY ANTHONY	35	Full Time	No
24	35165K	CHADWICK	ROBERT JAMES	23	Full Time	No
25	17720C	CHERNIN	LAWRENCE STEPHEN	40	Full Time	No
26	35415K	CIPPARONE	EUGENE ANTHONY GUY	21	Full Time	No
27	26857L	CITRON	JEFFREY ALAN	31	Full Time	No
28	31785J	CLARIZIO	PASCAL CARMINE DINO	26	Full Time	No
29	46828Q	COHEN	DANIEL GEORGE	15	Full Time	No
30	68379W	COHEN	JESSE-ROSS	2	Full Time	Yes
31	73473L	COHEN	LOREN ABRAMOWITZ	0	Full Time	No
32	64357V	COLL-BLACK	DAVID WILLIAM ANDREW	4	Full Time	No
33	27241U	CONFORTI	JOSEPH MICHAEL	30	Full Time	No
34	34621M	CONKLIN	DAVID DANIEL	25	Full Time	No
35	50994N	CONNON	JOHN DANIEL	12	Full Time	No
36	61448D	COOKSON	WILLIAM RYAN	4	Full Time	Yes
37	32797G	CORLEY	RICHARD FERRAND DONALD	25	Full Time	No
38	39405O	COSENTINO	JOSEPH	20	Full Time	Yes
39	46582L	COSTA	CATERINA	15	Full Time	No
40	58551Q	COWPER-SMITH	GORDON GEOFFREY	7	Full Time	No
41	36670I	CREERY	KATHARINE MONICA	22	Full Time	No
42	23658R	CROFOOT	KENNETH WILLIAM	33	Full Time	No
43	65851G	D'ANGELO	ANTHONY TYLER	3	Full Time	Yes
44	37787V	DE LUCA	NANDO	21	Full Time	No
45	58249U	DEDIC	DAN	7	Full Time	No
46	58251A	DESCOURS	CAROLINE IRENE	7	Full Time	No
47	69953J	DI BARTOLOMEO	JULIAN JOHN	1	Full Time	No
48	32814K	DIFIORE	MARIO	25	Full Time	No
49	55510L	DUNN	MARK SAMUEL	9	Full Time	Yes
50	63632M	EHRLICH	JENNIFER LAUREN	4	Full Time	No
51	71788C	EITUTIS	DANIEL PETER	0	Full Time	No
52	30640G	EMPEY	BRIAN FRANKLIN	27	Full Time	No
53	30644N	ERNST	GLENN STEPHEN	27	Full Time	No
54	35451C	FELDMAN	JASON SIDNEY	23	Full Time	Yes
55	50263N	FELDMAN	JONATHAN ANDREW	12	Full Time	No
56	54259Q	FIRSTEN	JAMIE DAVID	10	Full Time	No
57	72213K	FLATT	BRYAN MICHAEL	0	Full Time	No
58	68414W	FOX	CARLIE ELIZABETH	2	Full Time	Yes
59	51288P	FREEMAN	JARRETT BLAKE	12	Full Time	No
60	39062H	FREEMAN	SHELDON NEIL	20	Full Time	No
61	31848L	FRIEDLAND	THOMAS ANDREW	26	Full Time	Yes
62	29869H	GARVIE	SUSAN ALISON	28	Full Time	No
63	70894O	GEROW	KYLE ALEXANDER	1	Full Time	No
64	68095K	GINTHER	ALEXANDER JAMES	2	Full Time	No
65	15616S	GOLDMAN	CALVIN SHELDON	41	Full Time	No
66	67765Q	GOLDSTEIN	KIRBY LEAH	2	Full Time	Yes
67	34691Q	GOODMAN	ALLEN JEFFREY	24	Full Time	No
68	40455H	GORMAN	WILLIAM RUSSELL	19	Full Time	No
69	26199D	GORMLEY	DANIEL JOSEPH	31	Full Time	No
70	47807U	GOSSELIN	BRENDA JOY	14	Full Time	No
71	41687S	GREEN	BRAM JEFFREY	18	Full Time	Yes
72	29887D	GUOLO	FRANCESCA MARIA	27	Full Time	No
73	52850Q	HACKETT	BENJAMIN JOSHUA ADAM	11	Full Time	No
74	57429B	HALFIN	BRADLEY ROSS	8	Full Time	Yes
75	26781E	HALPERIN	STEPHEN HOWARD	41	Full Time	No
76	73221A	HARMES	ANDREW CHRISTOPHER	0	Full Time	No
77	12739U	HARRIS	NEIL HOWARD	45	Full Time	No
78	72241A	HAWKER	LISA SUZANNE	0	Full Time	Yes
79	35906I	HEENEY	TIMOTHY CHARLES	23	Full Time	No
80	30688T	HERLIN	KENNETH	27	Full Time	Yes
81	64077Q	HOFFMAN	JOSEPH BENJAMIN	4	Full Time	Yes
82	18816I	HOUSER	ROSLYN	38	Full Time	Yes
83	36735C	HOWE	ROBERT DOUGLAS	23	Full Time	Yes
84	64431B	HUBLING	EMILY SARAH	4	Full Time	Yes
85	72904L	HUNT	TARA JOANNA	0	Full Time	No
86	54595B	ISHAK	ADRIAN	10	Full Time	No
87	65551N	JACOB	RESA LESLEY	3	Full Time	No
88	56815S	JACOBSON	TAMRYN LARA	8	Full Time	Yes
89	51818U	JAFFE	GAIL RIVKA	21	Full Time	No
90	61558K	JAMAL	KABIR	5	Full Time	No
91	41703D	KAUFFMAN	SUZANNE MELISSA	18	Full Time	No
92	70633F	KENNEDY	THERESA ANN	1	Full Time	No
93	65952P	KHOROMI	MONEY	3	Full Time	No
94	70936J	KIM	JHYUN ROSEL	1	Full Time	No
95	32312W	KIMMEL	JESSICA ANN	26	Full Time	No
96	65574M	KLERER	SETH MITCHELL	3	Full Time	No
97	72274Q	KNIGHT	DANIELLE VICTORIA			
98	28504N	KOCH	MICHAEL STEVEN	31	Full Time	No
99	54608K	KOLLA	PETER BRENT	10	Full Time	No
100	29943K	KUSSNER	FRANCY BETH	28	Full Time	No



## Member Review List

LAWYER COUNT: 200

No.	LSUC #	Last Name	First Name	Years in Practice	Part-Time?	Real Estate?
101	23773P	LAMPE	JONATHAN DAVID	33	Full Time	No
102	72933U	LANGLOIS	SOPHIE TIANNA SUSANNE	0	Full Time	No
103	68482L	LASKIN	MAX ALEXANDER	2	Full Time	Yes
104	23774L	LASTMAN	HOWARD DALE	33	Full Time	No
105	32326A	LATHAM	LEE JOSEPH	26	Full Time	No
106	44170U	LEDERMAN	DAVID EZRA	16	Full Time	No
107	12982V	LEIBEL	ALLAN	45	Full Time	Yes
108	44611C	LIU	YICK TO	16	Full Time	No
109	31093M	LYONS	CATHERINE ANNE	27	Full Time	Yes
110	70374H	MACCHIONE	SARAH CAROLINE	1	Full Time	No
111	42058L	MACKAY	KARI JUDITH	18	Full Time	No
112	72950U	MAGISANO	LAURA TERESA	0	Full Time	No
113	70672I	MANDEL	MAX MOLOT	1	Full Time	Yes
114	21772U	MARK	ALAN HILARY	35	Full Time	No
115	25900C	MATLOW	DAVID JUDAH	31	Full Time	No
116	32978R	MAY	NEILL IAN	25	Full Time	No
117	62580M	MAZZOLA	JAROLIM MARIANO	5	Full Time	No
118	40939W	MCALISTER	MONIQUE ANN	19	Full Time	No
119	69846N	MCAULEY	RANDAL GREGORY	1	Full Time	No
120	43666K	MCGLAUGHLIN	GRANT EDWARD	17	Full Time	No
121	65637O	MIRSKY	ALI NITA	3	Full Time	No
122	43355E	MONTANINO	SANDRA JEAN	18	Full Time	No
123	18906E	MORROW	JULI	38	Full Time	Yes
124	34812R	NADLER	DAVID JACOB	24	Full Time	No
125	34815F	NAIBERG	RICHARD EDWARD	24	Full Time	No
126	43137F	NORTHUP	JON EVERETT	17	Full Time	No
127	27041R	NOSKIEWICZ	MARK RICHARD	30	Full Time	Yes
128	43331J	O'NEILL	BRENDAN DIONYS	19	Full Time	No
129	70085E	OLSCHER	REBECCA ANNE	1	Full Time	Yes
130	55336S	OUANOUNOU	MELANIE	9	Full Time	No
131	55337O	PALLET-ARTHURS	HANNAH JANE	9	Full Time	Yes
132	44968G	PARKER	TARA LEIGH	16	Full Time	No
133	40611E	PARTRIDGE	MICHAEL JAMES	17	Full Time	No
134	38390C	PASQUARIELLO	GIUSEPPE	21	Full Time	No
135	66426J	PAYNE	CHRISTOPHER ROBERT	3	Full Time	Yes
136	66046O	PETTYPIECE	CHARLES EDWARD	3	Full Time	Yes
137	29633A	PINCUS	STEPHEN NEVILLE	28	Full Time	Yes
138	73312P	POBJOY	ELLIOT	0	Full Time	Yes
139	69206F	PRAGER	MATTHEW WILLIAM	2	Full Time	No
140	17569I	RADOMSKI	HARRY	39	Full Time	No
141	55948N	RAMKARAN	LEAH MARY	9	Full Time	No
142	50866K	RAULIUK	KIRK ROBERT	12	Full Time	No
143	25957N	RHEA	CELIA KATHERINE	31	Full Time	No
144	70729A	RICHARDS	ROBERT MICHAEL	1	Full Time	Yes
145	52664O	ROBERTS	JENENE CRYSTAL	11	Full Time	No
146	64586K	ROSENBLAT	DAVID JONATHAN	4	Full Time	No
147	41011G	ROSENTHAL	JULIE GAIL	19	Full Time	No
148	49416L	ROSS	BRADLEY ERIC	13	Full Time	No
149	67911T	ROSSETTI	MATTHEW JOHN	2	Full Time	No
150	39637O	ROTH	MICHELLE CHALENE	20	Full Time	Yes
151	64747K	ROYAL	MICHAEL BERNARD PATRICK	4	Full Time	No
152	17088E	RUBENSTEIN	GALE	40	Full Time	No
153	25969W	RUBINOFF	FREDDY MICHAEL	31	Full Time	Yes
154	38439P	RUBY	PETER DAVID	21	Full Time	No
155	60582F	SADDINGTON	KENNETH WILLIAM	6	Full Time	No
156	70451V	SCHOLES	JANE ELLEN	1	Full Time	Yes
157	59071T	SCOPA	JORDAN DAVID	7	Full Time	No
158	39650H	SCRIMGER	DAVID MICHAEL	20	Full Time	No
159	64221E	SEGAL	MATTHEW JORDAN	4	Full Time	No
160	52785L	SEIDMAN	JACLYN MIA	10	Full Time	No
161	33477N	SHEEHY	NEIL MICHAEL	25	Full Time	No
162	29675O	SHERMAN	MITCHELL JORDAN	28	Full Time	No
163	60608T	SHNEER	MICHEL BETH	6	Full Time	No
164	51411T	SHOGILEV	SANDON LEE	12	Full Time	No
165	41031R	SHORE	JEFFREY HOWARD	19	Full Time	Yes
166	33485P	SMIT	CARRIE BERNICE EVA	25	Full Time	No
167	26377D	SMITH	GRAHAM DAVID	31	Full Time	No
168	71072K	SPELLISCY	CONNOR DONOVAN DUNLOP	1	Full Time	No
169	44231H	SPIRO	MARK ROBERT	16	Full Time	No
170	28254N	STAMEGNA	CAROLYN PATRICIA SHIRLEY	29	Full Time	No
171	58757S	STAUBITZ	NICHOLAS JOSEPH	7	Full Time	Yes
172	42142I	STEWART	MICHAEL GORDON	18	Full Time	Yes
173	73068O	STOTHART	SARAH PATRICIA	0	Full Time	Yes
174	54150Q	SUNSTRUM	CHRISTOPHER CLAUDE	10	Full Time	No
175	23267J	SURCHIN	MARK ALAN	34	Full Time	No
176	38937T	TAPE	CYNTHIA LYNNE	20	Full Time	No
177	73365T	TILAK	JACLYN WAI-LING CHENG	0	Full Time	No
178	60868J	TING	EMILY KANG YU	6	Full Time	No
179	45541F	TOPOLSKI	JERRY PAUL KRZYSZTOF	16	Full Time	No
180	42155N	TUZI	ROCCO	18	Full Time	No
181	61725U	VADASZ	KAREN MARIE	5	Full Time	No
182	53879C	VAN	BELLE WILLIAM	10	Full Time	No
183	60646D	VAN DIEPEN	JAMES JOANNES	6	Full Time	No
184	39703P	VAUX	ROBERT ALEXANDER	20	Full Time	No
185	62421I	VIGOD	MICHELLE Yael	5	Full Time	No
186	46757M	WADDEN	JASON ALBERT	15	Full Time	No
187	44063B	WAGNER	MELANEY JOAN	16	Full Time	No
188	64659G	WAKELING	DANIEL ALLEN	4	Full Time	No
189	65757P	WEIZEL	EMILY KATE	3	Full Time	No
190	19192L	WETSTON	HOWARD ISADORE	39	Full Time	No
191	64279L	WIFFEN	BRADLEY PATRICK COURTNEY	4	Full Time	No
192	64674O	WILSON	MICHAEL ANDREW	4	Full Time	No
193	25190F	WISE	HOWARD MARK	33	Full Time	Yes
194	30918I	WISEMAN	ANDREW STANLEY	27	Full Time	Yes
195	39716U	WISEMAN	DAVID LEE	20	Full Time	No
196	68629W	WOOLLEY	SAMANTHA LINDSAY	2	Full Time	No
197	52435C	YASSKIN	MICHAEL CHARLES	11	Full Time	No
198	72783K	YOHANNES	LEDYA SOLOMON	0	Full Time	No
199	17247M	ZARNETT	BENJAMIN	40	Full Time	No
200	23322U	ZITZERNAN	DAVID BRIAN	34	Full Time	No
209	42851F	HILL	LINDSAY PENELOPE	12	Full Time	No